2020 MEDICAL CATEGORY PROMOTION BENCHMARKS

PY 2020 Guidance Regarding Promotion Precepts and Benchmarks for Commissioned Corps Officers

Officers competing for promotion are rated on the five Promotion Precepts described in the electronic Commissioned Corps Issuance System (eCCIS) Instructions 331.01 (old CCPM 23.4.1) "Permanent Grade Promotions", and 332.01 (old CCPM 23.4.2) "Temporary Grade Promotions", and noted below. To assist officers in better understanding the Promotion Precepts, the Precepts are described in terms of Factors. Each Factor has a Benchmark, which is a level of achievement for the officer given the category and grade.

The purpose of this Guidance is to inform officers and promotion boards of the levels of achievement for each Promotion Precept generally considered to describe the "best qualified" officer for a specific category at a specific grade. This document can also benefit the officer in setting some personal long-term goals for his or her career advancement.

The Chief Professional Officers (CPO) and Professional Advisory Committee (PAC) Chairs, in consultation with their constituent category members, revise the Guidance annually to reflect the ever changing missions and policies of the Corps. All five Promotion Precepts are identical for all categories, as are the Benchmarks for Promotion Precepts 1, 4 and 5. The Benchmarks for Promotion Precepts 2 and 3 are category-specific.

The benchmarks for Precepts 1 – 5 are levels of achievement and/or standards of excellence that describe the "best-qualified" officer. They serve as guidance: a basis by which officers can be measured within each category. No Officer is expected to meet all the standards for Precepts 1 - 5. Many promoted officers will have achievements that exceed the factors for one or two precepts, but may not meet all the factors for others. Therefore these Benchmarks should not be considered a checklist of activities that must be completed in order to be promoted. Quality and impact of an officer's service is far more important than the quantity of activities in which they participate.

The individual factors within each Precept are not listed in priority order. The importance of each factor is left to the discretion of the Promotion Boards and may change from year to year. The members of the Promotion Boards review the service records of each officer under consideration for promotion and each assigns a score for the specific Promotion Precept. Promotion Board members exercise their professional judgment and discretion in the review and rating of each record.

There is no time period that limits which of the officer's activities and accomplishments are eligible for consideration. However, activities and accomplishments subsequent to an officer's last promotion should receive priority consideration.

The Promotion Precepts are weighted as follows:

| 1. Performance Rating and Reviewing Official's | 40% |
|---|-------|
| Statement (Performance) | |
| Education, training, and professional development | 20% |
| 3. Career progression and potential | 25% |
| Professional contributions and services to the PHS Commissioned Corps (Officership) | 15% |
| 5. Basic Readiness | ***0% |

IMPORTANT NOTE:

Although the Readiness precept no longer carries any weight with regard to numerical score for promotion, basic readiness remains one of the several administrative checks for promotion. Officers in a "not ready" status at the 31 Dec RedDOG status report prior to the promotion year will receive an automatic Board Not Recommend. In addition, officers in a "not ready" status at the subsequent 31 March RedDOG status report, who were otherwise successful, will be removed from the successful list. Officers are advised to maintain basic readiness at all times.

Promotion Board members examine many documents in the officer's electronic Official Personnel Folder (eOPF) during the promotion review. Examples of these documents include, but are not limited to: Commissioned Officers' Effectiveness Report (COER); Promotion Information Report (PIR); curriculum vitae; the Officer's and Reviewing Official's Statements; award narratives; and letters of appreciation. The most recent COERs (e.g., the last 3-5 years) are generally given the most consideration by Promotion Board members, although earlier COERs may also be reviewed.

Career development resources (e.g., Curriculum Vitae (CV) reviews, mentoring, internet training tools, career development seminars, fellow officers, serving in similar roles, etc.) provided by the PACs, agency liaisons, Division of Commissioned Corps Personnel and Readiness (DCCPR), and the CPOs should be explored and fully utilized by all officers.

The Benchmarks will change as the Commissioned Corps continues to evolve. Any comments or suggestions that you have on the Benchmarks may be submitted to your PAC Chair, and will be carefully considered for incorporation into the next annual revision.

| PY 2020 FACTORS and BENG | 1. Performance Rating and Reviewing Official's Statement (Performance) | | | | | |
|--|---|---|--|--|--|--|
| Factor | Benchmarks P-O2* | Benchmarks T-O4/P-O3* | Benchmarks T-O5/P-O4 | Benchmarks T-O6/P-O5/P-O6 | | |
| Commissioned Officers' Effectiveness Report (COER) Based on information contained in the Officer's Statement, separate from the Reviewing Official's Statement, the officer will be rated on promotion readiness as it relates to: | The primary focus in reviewing the COER should be on the accompanying narrative rather than on the indicated value. Secondary assessment will include a review of the COER score, in the context of the officer's performance trends. | The primary focus in reviewing the COER should be on the accompanying narrative rather than on the indicated value. Secondary assessment will include a review of the COER score, in the context of the officer's performance trends. | The primary focus in reviewing the COER should be on the accompanying narrative rather than on the indicated value. Secondary assessment will include a review of the COER score, in the context of the officer's performance trends. | The primary focus in reviewing the COER should be on the accompanying narrative rather than on the indicated value. Secondary assessment will include a review of the COER score, in the context of the officer's performance trends. | | |
| Progression of responsibility Achievement and contributions to the agency mission Personal accountability for developing skills and leadership effectiveness | Completes assignments of moderate complexity and impact, with guidance as needed/requested. Skill development reflects potential for leadership and willingness/ability to assume increasing levels of responsibility. Completes assigned duty- related mandatory training and elective training to complement mandatory training. | Completes assignments of moderate complexity and impact, with guidance as needed/requested. Skill development reflects potential for leadership and willingness/ability to assume increasing levels of responsibility. Completes assigned duty- related mandatory training and elective training to complement mandatory training. | Evidence of independent performance of complex tasks requiring a high level of proficiency and increasing responsibility with positive impact on the program. Demonstrated leadership of program teams or projects. | Independent initiative, evidenced by development, oversight, coordination and/or leadership/ accountability of projects of exceptional difficulty with required level of expertise. Completes assigned duty- related mandatory training and elective training to complement mandatory training. | | |
| | Supporting information that professional development contributes to the agency missions. | Supporting information that professional development contributes to the agency missions. The officer demonstrates they efficiently and effectively work at a higher level than their current grade. | Supporting information that professional development contributes to the agency missions. The officer demonstrates they efficiently and effectively | professional development contributes to the agency missions. The officer demonstrates they efficiently and effectively work at a higher level than their current grade. | | |

PY 2020 FACTORS and BENCHMARKS FOR PROMOTION PRECEPTS

| Factor | Benchmarks P-O2* | Benchmarks T-O4/P-O3* | Benchmarks T-O5/P-O4 | Benchmarks T-O6/P-O5/P-O6 |
|--|--|---|--|--|
| | The officer demonstrates they efficiently and effectively work at their current grade. | | work at a higher level than their current grade. | |
| • Award History** | There should be a record of | There should be a record of | There should be a record of | There should be a record of |
| | awards across the career. | awards across the career. | awards across the career. | awards across the career. |
| Progression of awards, | Officers should strive for | Officers should strive for | Officers should strive for | Officers should strive for |
| relevance to mission, quality, | increasing levels of | increasing levels of | levels of achievement that | levels of achievement that |
| as well as quantity, across | achievement including team | achievement that reflect | are distinctly greater than | demonstrate increasing |
| the career is assessed: | or unit participation, which may result in individual or | superior efforts, including team or unit participation, | expected and which should result in progressively higher | impact and result in progressively higher |
| \circ PHS Individual and Unit | unit awards (e.g., a PHS | which may result in individual | individual awards or unit | individual awards or unit |
| Honor Awards (e.g., | Citation Medal or Unit | or unit awards (e.g., an | recognition (e.g., a | recognition (e.g., an |
| PHS Citation Medal, | Commendation). | Achievement Medal or Unit | Commendation Medal or Unit | Outstanding Service Medal |
| Outstanding Service | , | Commendation). | Commendation). | or Outstanding Unit Citation |
| Medal, Unit | Division, Institute, and | | , | Ŭ |
| Commendation) | Agency (including non-DHHS | Division, Institute, and | Division, Institute, and | Division, Institute, and |
| | agencies), and professional | Agency (including non-DHHS | Agency (including non-DHHS | Agency (including non-DHH |
| Other Awards & | organization awards, and | agencies), and professional | agencies), and professional | agencies), and professiona |
| Recognition | recognition such as letters of | organization awards, and | organization awards, and | organization awards, and |
| | commendation. | recognition such as letters of | recognition such as letters of | recognition such as letters |
| \circ PHS Service Awards | | commendation. | commendation. | commendation. |
| (e.g., Isolated Hardship | Awards should clearly reflect | | | |
| Service Award, Special | the impact(s) that evolve | Awards should clearly reflect | Awards should clearly reflect | Awards should clearly refle |
| Assignment Service | from responsibility and | the impact(s) that evolve | the impact(s) that evolve | the impact(s) that evolve |
| Award) | performance of the officer. | from responsibility and | from responsibility and | from responsibility and |
| | | performance of the officer. | performance of the officer. | performance of the officer. |

| | 1. Performance Rating | and Reviewing Official's S | Statement (Performance) | |
|---|--|---|--|--|
| Factor | Benchmarks P-O2* | Benchmarks T-O4/P-O3* | Benchmarks T-O5/P-O4 | Benchmarks T-O6/P-O5/P-O6 |
| Reviewing Official's | Exhibits Leadership | Exhibits Leadership | Demonstrates Leadership | Accomplished Leadership |
| Assessment for Promotion Readiness | Qualities | Qualities | Skills | Role |
| Based on information contained in the Reviewing Official's Statement (separate from the Officer's Statement), the Officer will be rated on promotion | Recognized junior officers with the potential and inspiration to influence. For example: As documented in ROS, | Recognized junior officers with the potential and inspiration to influence. For example: <i>As</i> <i>documented in ROS</i> , | Recognized exceptional personal leadership skill and significant potential or competence as a leader or manager. | Recognized leaders who have moved into key leadership roles and have a proven record of expertise, influence and achievement (e.g., Subject Matter Expert, Program Chief/Director or |
| readiness as it relates to: ○ Current Leadership Role | a) In attributes that serve the | a) In attributes that serve the | For example: As documented in ROS, candidate excels by: | equivalent). For example: As |
| in Command/Agency | leadership in a group, team, committee, or branch work | leadership in a group, team, committee, or branch work | a) Assuming an intermediate | documented in ROS, candidate excels as: |
| Progression of Leadership Potential | and with the potential for team leadership or management role. | and with the potential for team leadership or management role. | level of responsibility in a group, team, committee or branch work; or making limited or time- | a) A subject matter expert, senior management, and/or special advisory/consultant |
| Contribution to the Agency Missions | and/or | and/or | bound contributions in a management, supervisory, | position. |
| | b) As a member of a task force or similar group at, or above, the Agency, local or | b) As a member of a task force or similar group at, or above, the Agency, local or | technical or clinical expert and/or project leadership role. | and/or b) Leader of a task force or a |
| | regional level. | regional level. | and/or | similar group at either the Agency, regional, national or |
| | Other considerations may include: | Other considerations may include: | b) Serving as a leader of a | international level. |
| | Authorship of publications or other written communication | Authorship of publications or other written communication | task force or similar group at, or above, the Agency, local or regional level. | Other considerations <i>may</i> include: |
| | or oral presentations that strive for increasing impact at, or above, the local/ regional, Branch, or Division | or oral presentations that strive for increasing impact at, or above, the local/ regional, Branch, or Division | Other considerations may include: | First, Second or senior authorship of publications or other written communication or oral presentations that |
| | level. | level. Engages in collateral | First or Second authorship of publications or other written communication or | achieve increasing impact at either the regional, national, international or Agency level. |
| | | activities that contribute to the Agency/PHS mission. | oral presentations that achieve increasing impact at | |

| Factor | Benchmarks P-O2* | Benchmarks T-O4/P-O3* | Benchmarks T-O5/P-O4 | Benchmarks T-O6/P-O5/P-O6 |
|---------------------------|---|--------------------------------|---|--|
| | | | or above the local, regional, or Agency level. | Evidence that career duties and collateral activities contribute to visibility and impact of the Agency/PHS |
| | | | Engages in collateral activities that contribute to the Agency/PHS mission. | Commissioned Corps mission. |
| - Temporary O2 and O3 pro | motions for all categories and Te | emporary O4 promotions for the | Medical and Dental Categories | are determined by an |
| | outlined in Commissioned Corps P-O2 & O3 for career developmer | | 23.4.2, 6-2). Officers are enco | uraged to use the Factors and |

| | 2. Education, Training & F | rofessional Development | |
|--|---|---|---|
| Factor | Benchmarks T-O4/P-O3 | Benchmarks T-O5/P-O4 | Benchmarks T-O6/P-O5/P-O6 |
| Professional Degree (Required) | MD or DO | MD or DO | MD or DO |
| Medical licensure (Required) | Current | Current | Current |
| Medical residency and/or specialty training (Examples of excellence) | Yes; multiple residencies and/or specialty trainings, or training in a subspecialty | Yes; multiple residencies and/or specialty trainings, or training in a subspecialty | Yes; multiple residencies and/or specialty trainings, or training in a subspecialty |
| Current board certification (Examples of excellence) | Yes; multiple board certifications; fellowship in specialty, or subspecialty college | Yes; multiple board certifications; fellowship in specialty, or subspecialty college | Yes; multiple board certifications; fellowship in specialty, or subspecialty college |
| • Continuing Medical Education (Examples of excellence) | Demonstrates maintenance of professional competence by participating in and receiving certificates for educational activities approved by the ACCME for category I credit. | Demonstrates maintenance of professional competence by participating in and receiving certificates for educational activities approved by the ACCME for category I credit. | Demonstrates maintenance of professional competence by participating in and receiving certificates for educational activities approved by the ACCME for category I credit. |
| | Standard is 75 hours /3 year interval. Annual summary of CME is documented for most recent 3 years. | Standard is 75 hours /3 year interval. Annual summary of CME is documented for most recent 3 years. | Standard is 75 hours /3 year interval. Annual summary of CME is documented for most recent 3 years. |
| | In-depth CME activities relevant to the officer's current role or to future leadership roles. | In-depth CME activities relevant to the officer's current role or to future leadership roles. | In-depth CME activities relevant to the officer's current role or to future leadership roles. |
| | CME leading to a certificate or other type of professional credential. | CME leading to a certificate or other type of professional credential. | CME leading to a certificate or other type of professional credential. |
| | | Deeper level of engagement in CME than as a learner (e.g, evaluation or development of CME materials). | Deeper level of engagement in CME than just as a learner (e.g, evaluation or development of CME materials, serving as a trainer or consultant for CME activities). |
| Public Health Training and Experience (Examples of excellence) | Additional course work in public health, emergency preparedness, RedDOG | Additional course work in public health, emergency preparedness, RedDOG | Additional course work in public health, emergency preparedness, RedDOG |

| | 2. Education, Training & P | rofessional Development | | |
|--|---|---|--|--|
| Factor | Benchmarks T-O4/P-O3 | Benchmarks T-O5/P-O4 | Benchmarks T-O6/P-O5/P-O6 | |
| | modules, and other training related to agency mission, current role, or future roles. This might include (but is not limited to) bioethics, epidemiology, public health policy, research, and regulatory affairs. <i>An additional consideration may include</i> <i>substantive participation in a</i> <i>community-based public health initiative</i> <i>or program (e.g., PACE).</i> | modules, and other training related to agency mission, current role, or future roles. This might include (but is not limited to) bioethics, epidemiology, public health policy, research, and regulatory affairs. Work experience or committee service on a local, regional, national, or international public health activity or initiative. An additional consideration may include leadership in a community-based public health initiative or program (e.g., PACE). | modules, and other training related to agency mission, current role, or future roles. This might include (but is not limited to) bioethics, epidemiology, public health policy, research, and regulatory affairs. Work experience or committee service on a local, regional, national, or international public health activity or initiative. An additional consideration may include design, supervision, or mentorship to others for a community-based public health initiative or program (e.g., PACE). | |
| • Additional Degrees (Examples of excellence) | MPH, MHSc, PhD or other degree relevant to agency mission, or other training qualifications of note. | MPH, MHSc, PhD or other degree relevant to agency mission, or other training qualifications of note. | MPH, MHSc, PhD or other degree relevant to agency mission, or other training qualifications of note. | |

| | 3. Career Progression & Potential | | | | | |
|--|--|--|--|--|--|--|
| Factor | BenchmarksBenchmarksT-O4/P-O3T-O5/P-O4 | | Benchmarks T-O6/P-O5/P-O6 | | | |
| • Pillar Assignment | Officer end | cumbers a position that meets one of the | five pillars. | | | |
| • Billet | Officer should be in an O-4 billet or above. | Officer should be in an O-5 billet or above. | Officer should be in an O-6 billet or above. | | | |
| • Assignments (Examples of Excellence) | Reflect increasing independence, responsibility, accountability, and emerging leadership potential, in current role or on detail assignments (including TDY assignments and deployments). | Reflect increasing independence, responsibility, accountability, and leadership, in current role or on detail assignments (including TDY assignments and deployments). | Reflect increasing independence responsibility, accountability, and leadership, in current role or on detail assignments (including TDY assignments and deployments). | | | |
| Mobility, Geographic and/or Programmatic | One geographic or programmatic move. | Two geographic or programmatic moves. | Three geographic or programmatic moves. | | | |
| (Examples of Excellence) | May consider fewer moves provided moves reflect increasing responsibility and leadership. | May consider fewer moves provided moves reflect increasing responsibility and leadership. | May consider fewer moves provided moves reflect increasing responsibility and leadership. | | | |
| | Mobility may also be demonstrated by extended details (lasting 30 days or more) or by deployments with RedDOG or with officer's agency (lasting 14 days or more or totaling 30 days or more). | Mobility may also be demonstrated by extended details (lasting 30 days or more) or by deployments with RedDOG or with officer's agency (lasting 14 days or more or totaling 30 days or more). | Mobility may also be demonstrated by extended details (lasting 30 days or more) or by deployments with RedDOG or with officer's agency (lasting 14 days or more or totaling 30 days or more). | | | |

| | 3. Career Progression & Potential | | | | | |
|---|--|--|---|--|--|--|
| Factor | Benchmarks T-O4/P-O3 | Benchmarks T-O5/P-O4 | Benchmarks T-O6/P-O5/P-O6 | | | |
| • Collateral Duties (Examples of Excellence) | Agency mission-related duties that are not included in the billet description. Involvement is local and as a team member. | Agency mission-related duties that are not included in the billet description. Involvement is regional or national and officer serves in leadership role. Serves as a leader of a task force (or similar group) at, or above, the Agency, local or regional level Lead a clinical quality improvement initiative or educational project. Precept/supervise trainees. | Agency mission-related duties that are not included in the billet description. Involvement is regional national and officer serves in leadership role. Officer has initiated the activity. Serves as a leader of a task force (o similar group) at either the Agency, regional, national or international lev Design a clinical quality improvement initiative or educational project. Precept/supervise trainees. | | | |

Note: Entries marked "Examples of Excellence" indicate sample activities and accomplishments through which an officer may distinguish him or herself. It is neither expected nor essential that an officer achieve all of these examples to be considered for promotion.

| Factor | Benchmarks P-O2* | Benchmarks T-O4/P-O3* | Benchmarks T-O5/P-O4 | Benchmarks T-O6/P-O5/P-O6 |
|---|---|---|---|---|
| Honor/ Integrity/Duty | Displaying honor and integrity as an officer. |
| As a USPHS Officer | Completes mandatory CC training |
| Honor and integrity are the consistent regard for the highest standards of behaviors and the refusal to violate one's personal and professional codes. Duty is the free acceptance of a commitment to service. | Officer participates in personal and professional duties to meet obligations. No disciplinary or adverse actions; officer in good standing | Officer participates in personal and professional duties to meet obligations. No disciplinary or adverse actions; officer in good standing | Officer participates in personal and professional duties to meet obligations. No disciplinary or adverse actions; officer in good standing | Officer participates in personal and professional duties to meet obligations. No disciplinary or adverse actions; officer in good standing |
| Officer CC Contributions Significant contributions are based on information contained in the Officer's Statement, CV, and documented in letters of | Appointed member or volunteer. | Appointed member or volunteer. | Appointed member or volunteer who leads subcommittee or demonstrates substantive role. | Appointed member or volunteer who serves as Chair or Vice-Chair, or leads subcommittees, or demonstrates substantive role. |
| appreciation. Examples may include: Membership/ Leadership/ Involvement in PAC and Advisory Groups (e.g., Junior Officers Advisory Group, Minority Officers Liaison Council) | Evidence that CC and collateral activities impact and contribute to the PHS mission at the local level. | Evidence that CC and collateral activities impact and contribute to the PHS mission at the local level. | Evidence that CC and collateral activities impact and contribute to the PHS mission at the regional level. | Evidence that CC and collateral activities impact and contribute to the PHS mission at the regional, national or international level. |
| Recruitment Activities | | Documented recruitment activities | Documented recruitment activities | Documented recruitment activities |

| Factor | Benchmarks P-O2* | Benchmarks T-O4/P-O3* | Benchmarks T-O5/P-O4 | Benchmarks T-O6/P-O5/P-O6 |
|--|---|--|---|---|
| Mentoring | Participates as a protégé in regular one-on-one or group mentoring activities | Participates as a protégé in regular one-on-one or group mentoring activities. | Participates as a primary or team mentor in regular one- on-one or group mentoring activities. Seeks mentors within peers or higher level. Completes a formal mentor assignment verified via letter from PAC, Advisory Group, Agency leadership, etc. Recruits other mentors to support professional development of peers. | Participates as a primary mentor in regular one-on- one or group mentoring activities. Seeks mentors within peers or higher level. Completes a formal mentor assignment verified via letter from PAC, Advisory Group, Agency leadership, etc. Recruits, trains, supports and manages other mentors for the professional development of other officers. |
| Professional contributions Commitment to professional development and officer visibility, <i>i.e. while in</i> <i>uniform.</i> Significant contributions are based on information contained in the CV, and documented in letters of appreciation, awards, etc. Examples may include: • Membership/ Involvement in Professional, Uniformed Service, and Specialty Organizations | Active member at the local level. | Active member at the local and/or regional level. | Active member at the regional or national level. Serves as contributing member to the organization through a committee or subcommittee. | Active member at the national or international level. Serves in a leadership role in the organization such as subcommittee Chair or Chair of the organization. |

| 4. Professional Contributions & Services to the PHS Commissioned Corps (Officership) | | | | |
|---|---|---|--|--|
| Factor | Benchmarks P-O2* | Benchmarks T-O4/P-O3* | Benchmarks T-O5/P-O4 | Benchmarks T-O6/P-O5/P-O6 |
| Presentations and Outreach | Participation at local and regional meetings or activities of professional organizations. | Presentations and/or outreach at local and regional meetings or activities of professional organizations. | Presentations and/or outreach at regional meetings or activities of professional organizations Evidence of greater visibility in promoting the Corps/Agency to broader audiences. | Presentations and/or outreach at regional, national or international meetings or activities of professional organizations. Sought out for presentations with evidence of greater impact in support of Corps/Agency missions. |
| * - Temporary O2 and O3 promotions for all categories and Temporary O4 promotions for the Medical and Dental Categories are determined by an administrative file review as outlined in CCI 332.01 (old CC23.4.2, 6-2). Officers are encouraged to use the Factors and Benchmarks listed for T-O4/P-O2 & O3 for career development purposes. | | | | |

| 5.Readiness | | | | |
|-------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|
| Factor | Benchmarks | Benchmarks | Benchmarks | Benchmarks |
| | P-O2 | T-O4/P-O3 | T-O5/P-O4 | T-O6/P-O5/P-O6 |
| NA | Officer meets and maintains |
| | Basic Readiness Standards. | Basic Readiness Standards. | Basic Readiness Standards. | Basic Readiness Standards. |

Note: Officers may submit a request for a temporary medical waiver to the Medical Affairs for medical issues that would prevent an Officer from achieving or maintaining readiness status.